

**DAVID C. STAPLETON, Director, Cornell University Institute for Policy Research**

**EMPLOYMENT HISTORY**

Cornell University	Director, Institute for Policy Research	2000 – present
The Lewin Group	Sr. Vice President	1998 – 2000
	Director of Applied Economics	1995 – 2000
	Vice President	1994 – 1997
	Project Manager	1991 – 1994
U. of Maryland, College Park	Associate Professor	1990/1
U. of Gothenburg, Sweden	Visiting Professor	1989
Dartmouth College	Associate Professor	1987/8 – 1989/90
	Assistant Professor	1982/3 – 1986/7
Carnegie Mellon University, GSIA	Visiting Assistant Professor	1981/2
U. of British Columbia	Assistant Professor	1977/8 – 1981/2

**EDUCATION**

1978	Ph.D., Economics, University of Wisconsin – Madison
1976	M.S., Economics, University of Wisconsin – Madison
1972	B.A., Russian Language and Literature, Dartmouth College

**REFEREED JOURNAL ARTICLES AND BOOK CHAPTERS**

- S. Mitra and D.C. Stapleton, "Disability, Work and Return to Work." In David Lewin (ed.), Contemporary Issues in Industrial and Labor Relations. Forthcoming.
- N. Goodman and D.C. Stapleton, "Federal Expenditures for Working-age People with Disabilities." Journal of Disability Policy Studies. Forthcoming.
- A.E. Horvath-Rose, D.C. Stapleton and B. O'Day, "The Effects of Welfare Reform on Statistics for Young Women with Disabilities," Journal of Disability Policy Studies. Forthcoming.
- R.V. Burkhauser and D.C. Stapleton "Disability." In J.H. Greenhaus and G. A. Callahan (eds.), Encyclopedia of Career Development, Vol. 1 (pp. 233-236). Thousand Oaks, CA: Sage, 2006
- R.J. Ozminkowski, R. Z. Goetzl, D. Shechter, D.C. Stapleton, O. Basur and P. Lapin, "Predictors of Preventive Service Use Among Medicare Beneficiaries," Health Care Financing Review, Volume 27, #3, 5 - 23, 2006.
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- R.V. Burkhauser and D.C. Stapleton, "The Decline in the Employment Rate for People with Disabilities: Bad Data, Bad Health, or Bad Policy?" Journal of Vocational Rehabilitation. Volume 20, #3 (Sept.), 185 – 201, 2004.
- R.V. Burkhauser and D.C. Stapleton, "Employing Those Not Expected to Work: The Stunning Changes in the Employment of Single Mothers with Children and People with Disabilities in the United States in the 1990s." In Disability Policy Under Review, Bernd Marin, Christopher Prinz and Monika Queisser (eds.), Hants, England: Ashgate, 2004.

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- R.V. Burkhauser and D.C. Stapleton, "A Review of the Evidence and Its Implications for Policy Change," Chapter 11 in The Decline in Employment of People with Disabilities: A Policy Puzzle, D.C. Stapleton and R.V. Burkhauser, eds., Kalamazoo, Michigan: W.E. Upjohn Institute for Employment Research, 2003.
- R.V. Burkhauser and D.C. Stapleton, "Introduction," Chapter 1 in The Decline in Employment of People with Disabilities: A Policy Puzzle, D.C. Stapleton and R.V. Burkhauser, eds., Kalamazoo, Michigan: W.E. Upjohn Institute for Employment Research, 2003.
- D. C. Stapleton, N. Goodman and A. Houtenville, "Have Changes in the Nature of Work or the Labor Market Reduced Employment Prospects of Workers with Disabilities?" Chapter 4 in The Decline in Employment of People with Disabilities: A Policy Puzzle, D.C. Stapleton and R.V. Burkhauser, eds., Kalamazoo, Michigan: W.E. Upjohn Institute for Employment Research, 2003.
- D.C. Stapleton and R.V. Burkhauser (2003). "Contrasting the Employment of Single Mothers and People with Disabilities," Employment Research, Newsletter of the W.E. Upjohn Institute for Employment Research, 10(30): 3-6.
- D.C. Stapleton and G.A. Livermore, "A Conceptual Model and Evaluation Strategy for the Empirical Study of the Adequacy of Incentives in the Ticket to Work Program," in S. Bell and K. Rupp (eds.), Paying for Results in Vocational Rehabilitation: Will Provider Incentives Work for Ticket to Work, Urban Institute Press, 2003.
- D.C. Wittenburg, D.C. Stapleton, A. Tucker, and R. Harwood, "An Assessment of the Representativeness of the SSI DA&A Study Panels." Contemporary Drug Problems, 2003.
- D.C. Stapleton, D.C. Wittenburg, M.E. Fishman, G.A. Livermore, "Transitions from AFDC to SSI Prior to Welfare Reform," Social Security Bulletin, Volume 64, #1, 2001/2002.
- D.C. Wittenburg, D.C. Stapleton, S.B. Scrivner, "How Raising the Age of Medicare Eligibility for Social Security and Medicare Might Affect the Disability Insurance and Medicare Programs," Social Security Bulletin, Volume 63, #4, 2000.
- D.C. Stapleton and A. Tucker, "Will Expanding Health Care Coverage for People with Disabilities Increase Their Employment and Earnings? Evidence From an Analysis of the SSI Work Incentive Program." Research in Human Capital and Development, Volume 13, D. Salkever and Alan Sorkin (eds.), JAI Press, 2000.
- G.A. Livermore, D.C. Stapleton, P. Lee and L. Levitt, "The Health Rights Hotline: The Role of a Model Independent Assistance Program," Health Affairs, Jan/Feb 2000.
- D.C. Stapleton, "Adapting Measurement of Functional Capacity to Work to SSA's Disability Decision Process," in G. S. Wunderlich (ed.), Measuring Functional Capacity and Work Requirements, Washington, DC: Institute of Medicine, 1999.
- J.F. Shiels, R. Rubin and D.C. Stapleton, "The Estimated Costs and Savings of Medical Nutrition Therapy: The Medicare Population," Journal of the American Dietetic Association, April 1999, 99(4): 428-35.

- G.A. Livermore, D.C. Stapleton, and A. Zeuschner "Lessons from Case Studies of Recent Program Growth in Five States." Chapter 8 in Growth in Income Entitlement Benefits for Disability: Explanations and Policy Implications. K. Rupp and D. Stapleton (eds.) Michigan: The Upjohn Institute, 1998.
- D.C. Stapleton, K. Coleman, K. Dietrich and G.A. Livermore, "Econometric Analyses of DI and SSI Application and Award Growth." Chapter 2 in Growth in Income Entitlement Benefits for Disability: Explanations and Policy Implications. K. Rupp and D. Stapleton (eds.) Michigan: The Upjohn Institute, 1998.
- G.A. Livermore and D.C. Stapleton, "Impairment Trends in Applications and Awards for SSA's Disability Programs." Journal of Vocational Rehabilitation, 7, 1996, 93-115.
- K. Rupp and D.C. Stapleton, "Determinants of the Growth in the Social Security Administration's Disability Programs." Social Security Bulletin, 58(4) Winter, 1995, 43-70.
- L.A. Whittington and D.C. Stapleton, "Agricultural Growth, the Status of Women, and Fertility." American Journal of Agricultural Economics, 77, August 1995, 706-11.
- J.M. Manchester and D.C. Stapleton, "On Measuring the Progress of Women's Quest for Economic Equality," Journal of Human Resources, Summer 1991.
- D.C. Stapleton, "Cohort Size and the Academic Labor Market," Journal of Human Resources, 24, Spring 1989, 221-52.
- D.C. Stapleton and D. J. Young, "Educational Attainment and Cohort Size," Journal of Labor Economics, 6, July 1988, 330-61.
- D.C. Stapleton, "Consistent Sets of Estimates for Restricted Regressions with Errors in All Variables," with Steven Klepper, International Economic Review, 28, June 1987, 445-57.
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- D.C. Stapleton, "Errors-in-Variables in Demand Systems," Journal of Econometrics, 26, 1984, 255-70.
- D.C. Stapleton and D. J. Young, "The Effects of Demographic Change on the Distribution of Wages, 1967-1990," Journal of Human Resources, 19, Spring 1984, 175-201.
- D.C. Stapleton, "How Elastic is the Demand for Labor? A Comment," Review of Economics and Statistics, 65, Nov. 1983.
- D.C. Stapleton, "Inferring Long Term Substitution Possibilities from Cross-Section and Time-Series Data," in E.R. Berndt and B.C. Field (eds.), Modeling and Measuring Natural Resource Substitution, MIT Press, 1981.
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- D.C. Stapleton, "Analyzing Political Participation Data with a MIMIC Model," in Sociological Methodology, 1978, K. Scheussler (ed.), San Francisco: Jossey-Bass, 1977.

## BOOKS

- D.C. Stapleton and R.V. Burkhauser (eds.) The Decline in Employment of People with Disabilities: A Policy Puzzle Kalamazoo, Michigan: W.E. Upjohn Institute for Employment Research, 2003.
- K. Rupp and D. Stapleton (eds.) Growth in Disability Benefits: Explanations and Policy Implications. W.E. Upjohn Institute for Employment Research, 1998.
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- L. Orr, J.D. Feins, D. Long, D. McGinnis and D.C. Stapleton. Social Security Administration \$1 for \$2 Benefit Offset Demonstration: Outcomes, Data Requirements, Sample and Survey Design. October 2005.
- B.H. Harris, G. Hendershot, and D.C. Stapleton, A Guide to Disability Statistics from the National Health Interview Survey. 2005.
- J. Emery, J. Friedman-Peremel, P.J. Pike, G. A. Livermore, D. C. Stapleton and J. Kregel Colorado's Disability Navigators and Systems Change Employment Initiatives: An Evaluation Report, Colorado WIN Partners, 2005.
- R.Z. Goetzel, D.C. Stapleton, D. Shechter, G.A. Livermore and R.J. Ozminkowski. Senior Risk Reduction Demonstration: Demonstration Design Document. March 2004.
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- C. Thornton, G.A. Livermore, D.C. Stapleton, J. Kregel, T. Silva, B. O'Day, T. Fraker, W.G. Revell, Jr., H. Schroeder, M. Edwards. Evaluation of the Ticket to Work Program: Initial Evaluation Report. February 2004.
- D.C. Stapleton, SSA's Organizational Culture and Its Compatibility with the Mission of Returning People with Disabilities to Work. To the Social Security Administration's Ticket to Work and Work Incentive Advisory Panel, October 2003.
- M. Farrell, M. Fishman, D. C. Stapleton, Impacts of Washington State's WorkFirst Post-Employment Labor Exchange. To the Washington State Employment Security Department, 2003.
- M. Farrell, M. Fishman, D. C. Stapleton, Longitudinal Analysis of the Earnings and Food Stamp Participation of the Working Poor. To the Economic Research Service, Food and Nutrition Service, Department of Agriculture, 2003.
- G.A. Livermore, M. Nowak, D.C. Stapleton, J. Kregel, Evaluation Design for the Ticket to Work Program: Preliminary Process Evaluation. To the Social Security Administration, March 2003.
- J. Bethel and D.C. Stapleton, Evaluation Design for the Ticket to Work Program: Final Survey Sample Design. To the Social Security Administration, July 2002.
- D.C. Stapleton, G.A. Livermore, M. Nowak, E. Bouchery, J. Kregel. Evaluation Design for the Ticket to Work Program: Design Report. To the Social Security Administration, June 2002.

- D.C. Stapleton, M. Nowak and G.A. Livermore, Research on Employment Supports for People with Disabilities: The Role of Employers in Labor Force Entry and Employment Retention. To the Office of the Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services, January 2002.
- D.C. Stapleton, G.A. Livermore, and D. Kennell, Design Options for Modeling Policy Impacts on Working-age Adults and Children with Disabilities: Final Report. To the Office of the Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services, August 2002.
- D.C. Stapleton, G.A. Livermore, and D. Kennell, Design Options for Modeling Policy Impacts on Working-age Adults and Children with Disabilities: Preliminary Options. To the Office of the Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services, July 2001.
- D.C. Stapleton, M. Nowak and G.A. Livermore, Research on Employment Supports for People with Disabilities: Meeting the Diverse Needs of People with Disabilities. To the Office of the Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services, November 2001.
- G.A. Livermore, M. Nowak and D.C. Stapleton, Research on Employment Supports for People with Disabilities: The Role of Health Insurance in Successful Labor Force Entry and Employment Retention. To the Office of the Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services, October 2001.
- D.C. Stapleton, M. Nowak and G.A. Livermore, Research on Employment Supports for People with Disabilities: The Role of Supports in Successful Labor Force Entry of Youth with Disabilities. To the Office of the Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services, September 2001.
- D.C. Stapleton, G.A. Livermore, and J. Corea, Evaluation of the New York State ONECARD Rx Workers' Compensation Benefit, Final Report. To the New York Department of Civil Service, September 2001.
- D.C. Stapleton and M.D. Pugh, Evaluation of SSA's Disability Quality Assurance (QA) Processes and Development of QA Options that will Support the Long-term Management of the Disability Programs. To the Social Security Administration, 2001.
- M. Fishman, D.C. Stapleton, M. Farrell and S. Opcin, How Well Have Rural and Small Metropolitan Labor Markets Absorbed Welfare Recipients? To the Office of the Assistant Secretary for Planning and Evaluation, Department of Health and Human Services, 2001.
- G.A. Livermore and D.C. Stapleton, Providing Treatment for Affective Disorders: Demonstration Protocol. To the Social Security Administration, 2000.
- G.A. Livermore and D.C. Stapleton, The Economics of Policies and Programs Affecting the Employment of People with Disabilities, Cornell University Rehabilitation Research and Training Center for Economic Research on Employment Policy for People with Disabilities, funded by the National Institute for Disability and Rehabilitation Research, 2000c.
- D.C. Wittenburg and D.C. Stapleton, Increasing the Social Security Retirement Age and the Medicare Eligibility Age: Projected Impacts on Social Security Disability Insurance and Medicare from 2000 to 2040, to the American Association of Retired Persons, 2000d.
- D.C. Stapleton, G.A. Livermore and M.W. Nowak, Findings from the ONECARD Rx Satisfaction Survey, to the State of New York Department of Civil Service, 2000e.
- D.C. Stapleton and M. A. Nowak, Assessment of the Evaluation Plan for the Disability Process Redesign

Prototype, 2000f.

- G.A. Livermore and D.C. Stapleton, Research on Employment Supports for People with Disabilities: Literature Review. To the Office of the Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services, 1999a.
- G.A. Livermore and D.C. Stapleton, An Independent Assessment of Phase I of the Disability Claims Manager (DCM) Test, Final Report to the Disability Process Redesign Team of the Social Security Administration, 1999b.
- G.A. Livermore and D.C. Stapleton, Evaluation Plan for Phase II of the Disability Claims Manager Test. To the Disability Process Redesign Team, The Social Security Administration, 1999c.
- D.C. Stapleton, M. Fishman, G.A. Livermore, and D.C. Wittenburg, Options for Policy Evaluation of the Overall Effects of Welfare Reform on Social Security Programs, Final Report to the Social Security Administration, 1999e.
- D.C. Stapleton and G.A. Livermore, Evaluation of the First 18 Months of Operation of the Health Rights Hotline, to the California Wellness Foundation, Henry J. Kaiser Family Foundation, and Sierra Health Foundation, 1999d.
- D.C. Wittenburg and D.C. Stapleton, Review of Data Sources for School to Work Transitions by People with Disabilities, Cornell University Rehabilitation Research and Training Center for Economic Research on Employment Policy for People with Disabilities, funded by the National Institute for Disability and Rehabilitation Research, 1999e.
- D.C. Stapleton, G. Moran, and D.C. Wittenburg, Policy Evaluation of the Effect of Legislation Prohibiting the Payment of Disability Benefits to Individuals Whose Disability is Based on Drug Addiction and Alcoholism, Interim Report, to the Social Security Administration, 1998a.
- D.C. Stapleton, G.A. Livermore, and D.C. Wittenburg, Exploratory Study of Health Care Coverage and Employment of People with Disabilities, Final Report to the Assistant Secretary for Planning and Evaluation in the Department of Health and Human Services, 1998b.
- G. Claxton and D. Stapleton, State Regulatory Experience with Provider-Sponsored Organizations, to the Assistant Secretary for Planning and Evaluation in the Department of Health and Human Services, 1997a.
- D.C. Stapleton, Determinants of AFDC Caseload Growth, to the Assistant Secretary of Planning and Evaluation in the Department of Health and Human Services, 1997b.
- B. Barnow, D.C. Stapleton, G.A. Livermore, J. Johnson, and J. Trutko, An Evaluability Assessment of Responsible Fatherhood Programs, to the Assistant Secretary of Planning and Evaluation in the Department of Health and Human Services, 1997c.
- D.C. Stapleton, The Ventilator Dependent Unit Demonstration: Outcome Evaluation and Assessment of Post-acute Care, to the Health Care Financing Administration, 199
- D.C. Stapleton and G.A. Livermore, Longer Term Factors Affecting Disability Program Applications and Awards, Final Report, to the Assistant Secretary of Planning and Evaluation and the Social Security Administration, 1995a.
- D.C. Stapleton and G.A. Livermore, Case Studies of State-Level Factors Contributing to DI and SSI Disability Application and Award Growth, Final Report, to the Assistant Secretary of Planning and Evaluation and the Social Security Administration, 1995b.

- D.C. Stapleton, Labor Market Conditions, Socioeconomic Factors and the Growth of Applications and Awards for SSDI and SSI Benefits, Final Report, to the Assistant Secretary of Planning and Evaluation and the Social Security Administration, 1995c.
- D.C. Stapleton and G.A. Livermore, Impairment Trends in the Growth of Applications and Awards for SSA Disability Benefits, to the Assistant Secretary of Planning and Evaluation and the Social Security Administration, 1995d.
- D.C. Stapleton and G.A. Livermore, An Exploratory Study of Barriers and Incentives to Improving Labor Force Participation Among Persons with Disabilities, to the Assistant Secretary for Planning and Evaluation, U. S. Department of Health and Human Services, 1995e.
- D.C. Stapleton and J. Shiels, The Impact of HMOs on Health Care Spending Growth, to the Health Care Leadership Council, 1995f.
- D.C. Stapleton and J. Shiels, The Cost of Legislative Restrictions on Contracting Practices: The Cost to Governments, Employers, and Families, with John Shiels and Randall Haught, to the Health Care Leadership Council, 1995g.
- D.C. Stapleton, Labor Market Conditions, Socioeconomic Factors and the Growth of Applications and Awards for SSDI and SSI Benefits, Background Report and Preliminary Findings, to the Assistant Secretary of Planning and Evaluation and the Social Security Administration, 1994a.
- D.C. Stapleton, New Evidence on Savings from Network Models of Managed Care, to the Health Care Leadership Council, 1994b.
- D.C. Stapleton and J. Shiels, Potential Financial and Economic Impacts of Health Care Reform in California, 1993a.
- D.C. Stapleton and J. Trutko, Evaluation Design for the Turning Points Program, report by James Bell Associates to the Assistant Secretary for Planning and Evaluation, 1993b.
- D.C. Stapleton and D. Kennell, Health Care Costs and Older Workers, to the Commonwealth Fund, 1993c.
- B. Barnow and D.C. Stapleton, Design for the Evaluation of Changes to Medicare's Coverage of Outpatient Mental Health Services, to the Assistant Secretary for Planning and Evaluation and the Social Security Administration, 1993d.
- D.C. Stapleton and J. Shiels, The Impact of Controlling Health Spending to the Rate of Growth in GNP on Wages and Household Out-of-pocket Spending, to the Service Employees International Union, 1992a.
- B. Barnow and D.C. Stapleton, Background Paper for the Project NetWork Baseline Survey, to the Assistant Secretary for Planning and Evaluation and the Social Security Administration, 1992b.
- B. Barnow and D.C. Stapleton, Design for Evaluating the Effectiveness of the Vaccine Information Pamphlets, to the National Vaccine Program Office and the Centers for Disease Control, 1992c.

## **WORKING PAPERS**

- P. She and D. Stapleton, An Inventory of Disability Information for the Institutionalized Population, January 2006.
- B. O'Day, A. Horvath-Rose, and D.C. Stapleton. "Health Insurance Coverage among Youth with Disabilities." December 2005.
- D.C. Stapleton, B. O'Day, G. A. Livermore and A.J. Imparato, "Dismantling the Poverty Trap: Disability Policy for the 21<sup>st</sup> Century." June 2005
- D.C. Stapleton, "The Eligibility Definition Used in the Social Security Administration's Disability Programs Needs to be Changed." March 2004.
- D.C. Stapleton, D.C. Wittenburg and E. Maag "A Difficult Cycle? The Effect Of Labor Market Changes On The Employment And Program Participation Of People With Disabilities" December 2004.
- D.C. Stapleton and W. Erickson. "Characteristics or Incentives: Why Do Employment Outcomes for the SSA Beneficiary Clients of VR Agencies Differ, on Average, from Those of Other Clients?" November 2004.
- R. Z. Goetzel, D. Shechter, R.J. Ozminkowski, D.C. Stapleton, P.J. Lapin, "An Inventory of Senior Risk Reduction Programs and Services Offered by Health Promotion Vendors" 2004.
- R.J. Ozminkowski, R.Z. Goetzel, D. Shechter, D.C. Stapleton, O. Bazur, P.J. Lapin, "Predictors of Preventive Service Use Among Medicare Beneficiaries." 2004.
- K. Reynolds, L. Breslow, W. L. Roper, R. Z. Goetzel, D. Shechter, D.C. Stapleton, P.J. Lapin, "It's Never Too Late: Prevention Makes Sense at Any Age." 2004.
- R. Z. Goetzel, D. Shechter, R.J. Ozminkowski, D.C. Stapleton, P.J. Lapin, J.M. McGinnis, C.I. Gordon, L. Breslow, "Can Prevention Save Money?" 2004.